

**Internal Audit Assurance – Direction of Travel Report**

**Appendix I**

**KEY:** **Minimal**  
**Limited**  
**Substantial**  
**High**

<b>Report/Project</b>	<b>Date of Report</b>	<b>Report Assurance Level</b>	<b>Direction of Travel</b>	<b>Follow Up Assurance Assessment</b>
Contract Standing Orders	July 2010	Limited	↑	Substantial
Street Cleansing	July 2010	High	→	No recommendations have been made, a management response and follow-up are not required
Project Management Milton Creek	Aug 2010	Limited	↑	Substantial
Health & Safety (Commercial)	Sept 2010	Limited	↑	Substantial
Hackney Carriage Licensing	Sept 2010	Limited	↑	Substantial
Development Control Enforcement	Sept 2010	Limited		Scheduled for May 2011 (Postponed to Sept 2011)
Payroll	Sept 2010	Substantial	→	Substantial
Food Safety	Sept 2010	Substantial	→	Substantial
Local Engagement Forum Grant Administration	Oct 2010	Substantial	→	Substantial
Car Parking – Pay and Display Income	Oct 2010	Substantial	↑	High
Council Tax – Recovery & Enforcement	Oct 2010	Limited	↑	Substantial
Accounts Receivable	Dec 2010	Substantial	→	Substantial
Development Control Administration	Dec 2010	Substantial	→	Substantial
Sports Development	Jan 2011	Minimal	↑	Substantial
General Ledger - Feeder Systems	March 2011	High	→	High
Asset Management	March 2011	Substantial		Scheduled for Sept 2011
<b>Report/Project</b>	<b>Date of</b>	<b>Report</b>	<b>Direction</b>	<b>Follow Up Assurance</b>

	<b>Report</b>	<b>Assurance Level</b>	<b>of Travel</b>	<b>Assessment</b>
Accounts Payable	March 2011	<b>Substantial</b>	➔	<b>Substantial</b>
Benefits Payments	March 2011	<b>Substantial</b>	➔	<b>Substantial</b>
NNDR	March 2011	<b>Substantial</b>	➔	<b>Substantial</b>
Starters & Leavers	March 2011	<b>Substantial</b>	➔	<b>No recommendations have been made, a management response and follow-up are not required</b>
Arts Development	May 2011	<b>Substantial</b>	➔	<b>No recommendations have been made, a management response and follow-up are not required</b>
Section 106	June 2011	<b>Limited</b>		<b>Scheduled for Dec 2011</b>
Compliance with the Officer Code of Conduct	July 2011	<b>Substantial</b>		<b>Scheduled for Jan 2012</b>
Publication of Corporate Expenditure	July 2011	<b>Limited</b>		<b>Scheduled for Jan 2012</b>
Mobile Homes Licensing	August 2011	<b>Substantial</b>	➔	<b>No recommendations have been made, a management response and follow-up are not required</b>
IT Physical & Environmental Controls	August 2011	<b>Substantial</b>		<b>Scheduled for Dec 2011</b>